

UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA

IN RE CHINA INTELLIGENT LIGHTING AND  
ELECTRONICS, INC. SECURITIES LITIGATION

Case No. 2:11-cv-02768 (PSG) (SSx)

CLASS ACTION

THIS DOCUMENT RELATES TO:

ALL ACTIONS

**PROOF OF CLAIM AND RELEASE**

**GENERAL INSTRUCTIONS**

**Deadline for Submission: February 4, 2015**

1. To recover as a member of the Class based on your claims in the action entitled *In re China Intelligent Lighting and Electronics, Inc. Securities Litigation*, Case No. 2:11-cv-02768 (PSG) (SSx) (the "Action"), you must complete and, on page 6 hereof, sign this Proof of Claim and Release form ("Proof of Claim"). If you fail to file a timely and properly addressed (as set forth in ¶3 below) Proof of Claim, your claim may be rejected and you may be precluded from any recovery from the Settlement Fund created in connection with the proposed Settlement of the Action.

2. Submission of this Proof of Claim, however, does not assure that you will share in the proceeds of settlement in the Action.

3. YOU MUST MAIL YOUR COMPLETED AND SIGNED PROOF OF CLAIM POSTMARKED ON OR BEFORE FEBRUARY 4, 2015, ADDRESSED AS FOLLOWS:

*In re China Intelligent Securities Litigation*  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 8040  
San Rafael, CA 94912-8040  
Tel.: 1-866-571-4405  
Email: classact@gilardi.com

If you are NOT a Class Member, as defined in the Notice of Pendency of Class Action and Proposed Settlement, Settlement Fairness Hearing, and Motion for Attorneys' Fees and Reimbursement of Litigation Expenses ("Notice"), DO NOT submit a Proof of Claim.

4. If you are a Class Member and you do not request exclusion from the Class, you are bound by the terms of any judgment entered in the Action, WHETHER OR NOT YOU SUBMIT A PROOF OF CLAIM.

5. It is important that you completely read and understand the Notice that accompanies this Proof of Claim, and the Plan of Allocation set out in the Notice. The Notice and the Plan of Allocation describe the proposed Settlement, how the Class Members are affected by the Settlement, and the manner in which the Net Settlement Fund will be distributed; if the Court approves the Settlement and the Plan of Allocation. The Notice also contains the definitions of many of the defined terms (which are indicated by initial capital letters) used in this Proof of Claim. By signing and submitting this Proof of Claim, you will be certifying that you have read the Notice, including the terms of the releases described therein and provided for herein.

**CLAIMANT IDENTIFICATION**

6. If you purchased or acquired the common stock of China Intelligent Lighting and Electronics, Inc., ("China Intelligent") from June 18, 2010 through and including March 24, 2011 and held the certificate(s) in your name, you are the beneficial owner as well as the record holder. If, however, the certificate(s) were registered in the name of a third party, such as a brokerage firm or other nominee, you are the beneficial owner and the third party is the record holder.

7. Use Part I of this form entitled "Claimant Identification" to identify the beneficial owner(s) of the China Intelligent Common Stock that forms the basis of this claim, as well as the record holder ("Nominee"), if different. THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL OWNER(S), OR A PERSON AUTHORIZED TO ACT ON BEHALF OF SUCH OWNER(S), OF SUCH CHINA INTELLIGENT COMMON STOCK UPON WHICH THIS CLAIM IS BASED.

8. All joint owners (or a person authorized to act on the owner's behalf) must sign this claim. Executors, administrators, guardians, conservators, trustees, or others authorized to act on behalf of a beneficial owner, must complete and sign this claim on behalf of persons or entities represented by them and their authority must accompany this claim and their titles or capacities must be stated. The Social Security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

### CLAIM FORM

9. Use Part II of this form entitled "Schedule of Transactions in China Intelligent Common Stock" to supply all required details of your transaction(s) in China Intelligent. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

10. On the schedules, provide all of the requested information with respect to all of your holdings, purchases and acquisitions, and all of your sales of China Intelligent Common Stock for the periods specified, whether such transactions resulted in a profit or a loss. Failure to report all such transactions may result in the rejection of your claim.

11. List each transaction separately and in chronological order, by trade date, beginning with the earliest. You must accurately provide the month, day, and year of each transaction you list.

12. The date of covering a "short sale" is deemed to be the date of purchase of China Intelligent Common Stock. The date of a "short sale" is deemed to be the date of the sale of China Intelligent Common Stock.

13. You are required to submit genuine and sufficient documentation for all your transaction(s) in and holdings of China Intelligent Common Stock as set forth in the Schedule of Transactions in Part II. Documentation may consist of copies of broker confirmation slips, broker account statements, an authorized statement from the broker containing the transactional information found in a broker confirmation slip, or such other documentation as is deemed adequate by Lead Counsel or the Claims Administrator. ***The Parties and the Claims Administrator do not independently have information about your investments in China Intelligent Common Stock.*** If such documents are not in your possession, please obtain copies or equivalent contemporaneous documents from your broker. **Failure to supply this documentation could delay verification of your claim or could result in rejection of your claim. Do not send original documents.** Please do not highlight any portion of the Claim Form or any supporting documents and please keep a copy of all documents that you send to the Claims Administrator.

14. The above requests are designed to provide the minimum amount of information necessary to process the most simple claims. The Claims Administrator may request additional information as required to efficiently and reliably calculate your claim. In some cases where the Claims Administrator cannot perform the calculation accurately or at a reasonable cost to the Class with the information provided, the Claims Administrator may condition acceptance of the claim upon the production of additional information and/or the hiring of an accounting expert at the claimant's cost.

15. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All claimants MUST submit a manually signed paper Proof of Claim. If you wish to file your claim electronically, you must contact the Claims Administrator at 1-866-571-4405 or visit their website at [www.CILsettlement.com](http://www.CILsettlement.com) to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

Official  
Office  
Use  
Only

UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
*IN RE CHINA INTELLIGENT LIGHTING AND  
ELECTRONICS, INC. SECURITIES LITIGATION*

Must Be Postmarked  
No Later Than  
**February 4, 2015**

Case No. 2:11-cv-02768 (PSG) (SSx)

**PROOF OF CLAIM AND RELEASE**

**CILE**



Please Type or Print in the Boxes Below  
Do NOT use Red Ink, Pencil, or Staples

**PART I: CLAIMANT IDENTIFICATION**

Last Name  M.I.  First Name

Last Name (Co-Beneficial Owner)  M.I.  First Name (Co-Beneficial Owner)

IRA  Joint Tenancy  Employee  Individual  Other \_\_\_\_\_ (specify)

Company Name (Beneficial Owner - If Claimant is not an Individual) or Custodian Name if an IRA

Trustee/Asset Manager/Nominee/Record Owner's Name (If Different from Beneficial Owner Listed Above)

Account#/Fund# (Not Necessary for Individual Filers)

Social Security Number  —  —  or Taxpayer Identification Number  —

Telephone Number (Primary Daytime)  —  —  Telephone Number (Alternate)  —  —

Email Address

**MAILING INFORMATION**

Address

Address

City  State  Zip Code

Foreign Province  Foreign Postal Code  Foreign Country Name/Abbreviation

FOR CLAIMS  
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**PART II. SCHEDULE OF TRANSACTIONS IN CHINA INTELLIGENT SECURITIES**

A. Separately list each and every open market purchase or acquisition of China Intelligent common stock during the period **June 18, 2010**, through **March 24, 2011**, inclusive, and provide the following information (must be documented):

PURCHASES				Total Purchase or Acquisition Price ( <b>Excluding</b> Commissions, Taxes and Fees) <i>Please round off to the nearest whole dollar</i>	Proof of Purchase Enclosed?											
Trade Date(s) of Shares (List Chronologically)			Number of Shares Purchased or Acquired													
M	M	D	D	Y	Y	Y	Y									
1.									\$							<input type="radio"/> Y <input type="radio"/> N
2.									\$							<input type="radio"/> Y <input type="radio"/> N
3.									\$							<input type="radio"/> Y <input type="radio"/> N
4.									\$							<input type="radio"/> Y <input type="radio"/> N
5.									\$							<input type="radio"/> Y <input type="radio"/> N

IMPORTANT: If any purchase listed covered a "short sale," please mark Yes:  Yes

B. Separately list each and every sale of China Intelligent common stock during the period **June 18, 2010**, through **March 24, 2011**, inclusive, and provide the following information (must be documented):

SALES				Total Sales Price ( <b>Excluding</b> Commissions, Taxes and Fees) <i>Please round off to the nearest whole dollar</i>	Proof of Sales Enclosed?											
Trade Date(s) of Shares (List Chronologically)			Number of Shares Sold													
M	M	D	D	Y	Y	Y	Y									
1.									\$							<input type="radio"/> Y <input type="radio"/> N
2.									\$							<input type="radio"/> Y <input type="radio"/> N
3.									\$							<input type="radio"/> Y <input type="radio"/> N
4.									\$							<input type="radio"/> Y <input type="radio"/> N
5.									\$							<input type="radio"/> Y <input type="radio"/> N

C. State the total number of shares of China Intelligent common stock owned at the close of trading on **March 24, 2011**, long or short (must be documented):  Proof Enclosed?  Y  N

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS PLEASE PHOTOCOPY THIS PAGE, WRITE YOUR NAME ON THE COPY AND FILL THIS CIRCLE:

IF YOU DO NOT FILL IN THIS CIRCLE THESE ADDITIONAL PAGES MAY NOT BE REVIEWED.

YOU MUST READ AND SIGN THE RELEASE ON PAGE 6. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.



### **PART III. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS**

16. I (We) purchased or acquired common stock in China Intelligent Lighting and Electronics, Inc. ("China Intelligent") and was (were) damaged thereby. (Do not submit this Proof of Claim if you did not purchase or acquire China Intelligent stock during the designated Class Period.)

17. By submitting this Proof of Claim, I (we) state that I (we) believe in good faith that I am (we are) a Class Member as defined above and in the Notice of Pendency and Settlement of Class Action (the "Notice"), or am (are) acting for such person(s); that I am (we are) not a Defendant in the Actions or anyone excluded from the Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund, as defined in the Notice; that I (we) elect to participate in the proposed Partial Settlement described in the Notice; and that I (we) have not filed a request for exclusion. (If you are acting in a representative capacity on behalf of a Class Member [e.g., as an executor, administrator, trustee, or other representative], you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.)

18. I (We) consent to the jurisdiction of the Court with respect to all questions concerning the validity of this Proof of Claim. I (We) understand and agree that my (our) claim may be subject to investigation and discovery under the Federal Rules of Civil Procedure, provided that such investigation and discovery shall be limited to my (our) status as a Class Member(s) and the validity and amount of my (our) claim. No discovery shall be allowed on the merits of the Litigation or Partial Settlement in connection with processing of the Proof of Claim.

19. I (We) have set forth where requested below all relevant information with respect to each purchase of China Intelligent common stock during the Class Period, and each sale, if any, of such securities. I (We) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so.

20. I (We) have enclosed photocopies of the stockbroker's confirmation slips, stockbroker's statements, or other documents evidencing each purchase, sale, or retention of China Intelligent common stock listed below in support of my (our) claim. (IF ANY SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN A COPY OR EQUIVALENT DOCUMENTS FROM YOUR BROKER BECAUSE THESE DOCUMENTS ARE NECESSARY TO PROVE AND PROCESS YOUR CLAIM.)

21. I (We) understand that the information contained in this Proof of Claim is subject to such verification as the Claims Administrator may request or as the Court may direct, and I (we) agree to cooperate in any such verification. (The information requested herein is designed to provide the minimum amount of information necessary to process most simple claims. The Claims Administrator may request additional information as required to efficiently and reliably calculate your recognized claim. In some cases, the Claims Administrator may condition acceptance of the claim based upon the production of additional information, including, where applicable, information concerning transactions in any derivatives securities such as options.)

### **PART IV. RELEASE**

22. Upon the occurrence of the Court's approval of the Settlement, as detailed in the Notice, I (we) agree and acknowledge that my (our) signature(s) hereto shall affect and constitute a full and complete release, remise and discharge by me (us) and my (our) heirs, joint tenants, tenants in common, beneficiaries, executors, administrators, predecessors, successors, attorneys, insurers and assigns (or, if I am (we are) submitting this Proof of Claim on behalf of a corporation, a partnership, estate or one or more other persons, by it, him, her or them, and by its, his, her or their heirs, executors, administrators, predecessors, successors, and assigns) of each of the "Released Parties" of all "Settled Claims," as defined in the Notice.



I (WE) CERTIFY THAT I AM (WE ARE) NOT SUBJECT TO BACKUP WITHHOLDING UNDER THE PROVISIONS OF SECTION 3406(A)(1)(C) OF THE INTERNAL REVENUE CODE.

NOTE: IF YOU HAVE BEEN NOTIFIED BY THE INTERNAL REVENUE SERVICE THAT YOU ARE SUBJECT TO BACKUP WITHHOLDING, PLEASE STRIKE OUT THE LANGUAGE THAT YOU ARE NOT SUBJECT TO BACKUP WITHHOLDING IN THE CERTIFICATION ABOVE AND FILL THIS CIRCLE. ○

I (WE) DECLARE UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES OF AMERICA THAT ALL OF THE FOREGOING INFORMATION SUPPLIED ON THIS PROOF OF CLAIM AND RELEASE FORM BY THE UNDERSIGNED IS TRUE AND CORRECT AND THE DOCUMENTS SUBMITTED ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

Executed this \_\_\_\_\_ day of \_\_\_\_\_ in \_\_\_\_\_  
(Month/Year) (City/State/Country)

\_\_\_\_\_  
(Sign your name here)

\_\_\_\_\_  
(Sign your name here)

\_\_\_\_\_  
(Type or print your name here)

\_\_\_\_\_  
(Type or print your name here)

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(Capacity of person(s) signing, e.g., Beneficial Purchaser or Acquirer, Executor or Administrator)

\_\_\_\_\_  
(Capacity of person(s) signing, e.g., Beneficial Purchaser or Acquirer, Executor or Administrator)

**ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.  
THANK YOU FOR YOUR PATIENCE.**

Reminder Checklist:

- 1. Please sign the above release and declaration.
- 2. If this Claim is being made on behalf of Joint Claimants, then both must sign.
- 3. Remember to attach copies of supporting documentation, if available.
- 4. **Do not send** originals of certificates.
- 5. Keep a copy of your claim form and all supporting documentation for your records.
- 6. If you desire an acknowledgment of receipt of your claim form please send it Certified Mail, Return Receipt Requested.
- 7. If you move, please send your new address to:  
*In re China Intelligent Securities Litigation*  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 8040  
San Rafael, CA 94912-8040  
Tel.: 1-866-571-4405  
Email: classact@gilardi.com
- 8. **Do not use red pen or highlighter** on the Proof of Claim and Release form or supporting documentation.

**THIS PROOF OF CLAIM AND RELEASE MUST BE POSTMARKED NO LATER THAN February 4, 2015  
AND MUST BE MAILED TO:**

*In re China Intelligent Securities Litigation*  
Claims Administrator  
c/o Gilardi & Co. LLC  
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San Rafael, CA 94912-8040  
Tel.: 1-866-571-4405  
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